









TENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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MABER TRAD TRAD

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

11/20/2001

Paul D. Rietze

42390P11636

08791 **BLAKELY SOKOLOFF TAYLOR & ZAFMAN** 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025



Date Mailed: 06/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

08/13/2002 KZEWDIE 00000054 10086410 01 FC:105 ... 130.00 OP

A copy of this notice MUST be returned with the reply.



Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PAUL D. RIETZE, ET AL.

Application No.: 10/086,410

Filed: November 20, 2001

For: A COMMON BOOT ENVIRONMENT FOR A

MODULAR SERVER SYSTEM

Art Group:

Examiner:

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed June 6, 2002, please find enclosed:

- a duly executed Declaration and Power of Attorney and payment in the amount of \$130.00 for the surcharge of 37 CFR § 1.16(e);

and

- copy of the Notice to file Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 6, 2002

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Gregory D. Caldwell, Reg. No. 39,926

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: August 6, 2002.

08/06/02

Date





PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TO A NOW	ITTA	1	Complete if Known					
FEE TRANSM	ШІА	L	Application Number	10/086,410 November 20, 2001				
for FY 20	02		Filing Date					
Patent fees are subject to annual	revision.		First Named Inventor	Paul D. Rietze				
Applicant claims small entity status.	See 37 CF	R 1.27.	Examiner Name					
			Group/Art Unit					
TOTAL AMOUNT OF PAYMENT	(\$)	130.00	Attorney Docket No.	42390P11636				

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Deposit Account			3. ADDITIONAL FEES							
			Large Entity Small E		II Entity	,				
			Fee	Fee	Fee	Fee	_			
Deposit Account			02-2666		Code	(\$)	Code	(5)	Fee Description	Fee Paid
Number			02-2000		105	130	205	65	Surcharge - late filing fee or oath	130.00
Deposit			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Account Blakely, Sokoloff, Taylor & Zafman LLP			139	130	139	130	Non-English specification			
The Commissioner is authorized to: (check all that apply)			147	2,520	147	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments			112	920	112	920 '	Requesting publication of SIR prior to Examiner action			
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.			113	1,840*	113	1 840 *	Requesting publication of SIR after			
I =	s) indicated	d below.	except for the filing fee		""	1,040		1,010	Examiner action	
to the above					115	110	215	55	Extension for reply within first month	
	F	EE C	ALCULATION		116	400	216	200	Extension for reply within second month	
1. BAS	C FILII	NG FE	E		117	920	217	460	Extension for reply within third month	
Large Entity	Sma	Entity			118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	128	1,960	228	980	Extension for reply within fifth month	
***			I falling filling for	F	119	320	219	160	Notice of Appeal	
101 740 106 330	201	370 165	Utility filing fee Design filing fee		120	320	220	160	Filing a brief in support of an appeal	
107 510	207	255	Plant filing fee		121	280	221	140	Request for oral hearing	
108 740	208	370	Reissue filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160	214	80	Provisional filing fee		140	110	240	55	Petition to revive - unavoidable	
	ı	O.U.D.	TOTAL (1)		141	1,280	241	640	Petition to revive - unintentional	
		ŞUB	TOTAL (1) (\$)		142	1,280	242	640	Utility issue fee (or reissue)	
2. EXTI	RA CLA	AIM FE	ES Extra Fee from		143	460	243	230	Design issue fee	
			Claims below	Fee Paid	144	620	244	310	Plant issue fee	
Total Claims	30	· "	_ x		122	130	122	130	Petitions to the Commissioner	
Independent Claims	6	·	= x	=	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Multiple Depende	nt			=	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity	Small	Entity	_		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Description		146	740	246	370	Filing a submission after final rejection	
103 18	203	9	Claims in excess of 20						(37 ČFR § 1.129(a))	
102 84	202	42	Independent claims in exces	s of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280	204	140	Multiple Dependent claim, if not paid		179	740	279	370	Request for Continued Examination (RCE)	
109 84	209	42	**Reissue independent claims over original patent		169	900	169	900	Request for expedited examination	
, i					300	of a design application				
110 18 210 9 "Reissue claims in excess of 20 and over original patent			Other fee (specify)							
SUBTOTAL (2) (\$)			*Bertiner	iby Basic Filin	o Fee Pai	id	SUBTOTAL (3) (5) 120 00			
**orn	umber pre	viously pa	nid, if greater, For Reissues, s	ee below		. Ly Death (181	g. 66 r al		SUBTOTAL (3) (\$)	130.00
							_			

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 684-6200	
Signature	1411/		**	Date	08/06/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.